

Globe Telecom, Inc. The Globe Tower 32nd Street corner 7th Avenue, Bonifacio Global City, Taguig, Philippines 1634



+632.7972000



www.globe.com.ph

10 October 2017

### **Philippine Stock Exchange**

3/F, The Philippine Stock Exchange, Inc. Tower One and Exchange Plaza, Ayala Ave., Makati City

Attention:

Ms. Janet A. Encarnacion

Head - Disclosure Department

### **Philippine Dealing & Exchange Corporation**

6766 Ayala Avenue corner Paseo de Roxas, Makati City

Attention:

Ms. Vina Vanessa S. Salonga

Head, Issuer Compliance and Disclosure Department

Subject:

Report on the Top 100 Shareholders

#### Gentlemen:

This is in connection with the Exchange's Revised Disclosure Rules requiring Globe Telecom, Inc. to submit a report on the top 100 shareholders of the Company.

In compliance therewith, we are submitting herewith the list of top 100 shareholders of the Company's series A non-voting perpetual preferred shares for the quarter-ending 30 September 2017.

Thank you.

Very truly yours,

SOLOMON M. HERMOSURA

Corporate Secretary



## Philippine Depository & Trust Corp.

**DEPUSER7** 

### **TOP 100 PDTC PARTICIPANTS**

**Business Date** 09/29/2017

Security ID: G	LOPP0000000	Security Name: GLOBE TELECOM PREFERRED SHARES		
Transfer Agent:	REGS0000001	Transfer Agent Name: PHILIPPINE DEPOSITORY & TRUS	T CORPORATION	
S. No.	BPID	BPNAME	HOLDING	%HOLDING
1	RPCNC000FIL	PCD NOMINEE CORP - FILIPINO	19,705,800.00	98.5290000
2	RPCNCNONFIL	PCD NOMINEE CORP - NON-FILIPINO	112,210.00	0.5610500
3	RCERTGP0005	KNIGHTS OF COLUMBUS FRATERNAL ASSOCIATION OF THE PHILS INC	88,140.00	0.4407000
4	RCERTGP0011	RCBC SECURITIES INC	60,000.00	0.3000000
5	RCERTGP0008	FIRST LIFE FINANCIAL CO INC	20,000.00	0.1000000
6	RCERTGP0007	TAN BEN CUEVO AND OR TAN IMELDA TORALBA	4,000.00	0.0200000
7	RCERTGP0009	YAO WILSON ANG	3,000.00	0.0150000
8	RCERTGP0010	ROBLES MA THERESA FRASCO	2,150.00	0.0107500
9	RCERTGP0003	HENRY DY TAN OR SHERLEY GARGANTOS TAN	2,000.00	0.0100000
10	RCERTGP0001	MACABUHAY ANGELO DE GUZMAN	1,000.00	0.0050000
11	RCERTGP0012	LIM ERNESTO KIONG OR FLORA GO LIM	600.00	0.0030000
12	RCERTGP0004	LIM IRIS VERONICA GO	600.00	0.0030000
13	RCERTGP0002	TEH ALFONSO S	500.00	0.0025000

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### **OUTSTANDING BALANCES FOR A SPECIFIC COMPANY**

# Company Code -GLOPP0000000 GLOBE TELECOM PREFERRED SHARES

Business Date: September 29, 2017

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BPNAME	HOLDINGS
UPCC SECURITIES CORP.	143,050
A & A SECURITIES, INC.	14,200
ABACUS SECURITIES CORPORATION	37,210
PHILSTOCKS FINANCIAL INC	12,510
A. T. DE CASTRO SECURITIES CORP.	10,200
AP SECURITIES INCORPORATED	13,740
AB CAPITAL SECURITIES, INC.	900
SB EQUITIES,INC.	288,830
ASIASEC EQUITIES, INC.	6,000
BELSON SECURITIES, INC.	46,700
JAKA SECURITIES CORP.	7,000
BPI SECURITIES CORPORATION	3,476,290
SINCERE SECURITIES CORPORATION	300
CITISECURITIES, INC.	3,500
VSEC.COM, INC.	2,700
TRITON SECURITIES CORP.	1,400
DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	550
DAVID GO SECURITIES CORP.	10,650
EAST WEST CAPITAL CORPORATION	400
EASTERN SECURITIES DEVELOPMENT CORPORATION	5,530
EQUITIWORLD SECURITIES, INC.	15,000
EVERGREEN STOCK BROKERAGE & SEC., INC.	3,600
FIRST ORIENT SECURITIES, INC.	21,300
FIRST INTEGRATED CAPITAL SECURITIES, INC.	9,000
F. YAP SECURITIES, INC.	400
AURORA SECURITIES, INC.	12,000
GLOBALINKS SECURITIES & STOCKS, INC.	3,000
JSG SECURITIES, INC.	3,000
GOLDSTAR SECURITIES, INC.	10,000
HDI SECURITIES, INC.	6,000
H. E. BENNETT SECURITIES, INC.	13,000
INVESTORS SECURITIES, INC,	5,440
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	1,400
INTRA-INVEST SECURITIES, INC.	150,000
STRATEGIC EQUITIES CORP.	63,990
LARRGO SECURITIES CO., INC.	520
COL Financial Group, Inc.	68,300
MERCANTILE SECURITIES CORP.	620
MERIDIAN SECURITIES, INC.	6,130
MDR SECURITIES, INC.	1,000
OPTIMUM SECURITIES CORPORATION	5,200
RCBC SECURITIES, INC.	547,970
PAN ASIA SECURITIES CORP.	2,000
PAPA SECURITIES CORPORATION	380,250
MAYBANK ATR KIM ENG SECURITIES, INC.	54,270
PNB SECURITIES, INC.	5,610
PREMIUM SECURITIES, INC.	9,710
SALISBURY BKT SECURITIES CORPORATION	1,300
ALAKOR SECURITIES CORPORATION	7,900
R. COYIUTO SECURITIES, INC.	9,400
REGINA CAPITAL DEVELOPMENT CORPORATION	38,800
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BPNAME	HOLDINGS
R. NUBLA SECURITIES, INC.	145,380
R. S. LIM & CO., INC.	12,900
RTG & COMPANY, INC.	4,000
S.J. ROXAS & CO., INC.	22,500
SUMMIT SECURITIES, INC.	3,000
TANSENGCO & CO., INC.	20,550
TOWER SECURITIES, INC.	14,420
APEX PHILIPPINES EQUITIES CORPORATION	9,560
TRI-STATE SECURITIES, INC.	2,000
UCPB SECURITIES, INC.	13,010
VENTURE SECURITIES, INC.	55,730
FIRST METRO SECURITIES BROKERAGE CORP.	34,950
WESTLINK GLOBAL EQUITIES, INC.	400
BERNAD SECURITIES, INC.	2,420
YAO & ZIALCITA, INC.	15,000
YU & COMPANY, INC.	1,900
BDO SECURITIES CORPORATION	1,724,240
PCCI SECURITIES BROKERS CORP.	31,000
EAGLE EQUITIES, INC.	70
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	21,510
SOLAR SECURITIES, INC.	13,600
G.D. TAN & COMPANY, INC.	31,140
UNICAPITAL SECURITIES INC.	19,210
SunSecurities, Inc.	1,000
ARMSTRONG SECURITIES, INC.	14,700
KING'S POWER SECURITIES, INC.	6,000
BANCO DE ORO - TRUST BANKING GROUP	1,862,660
BANK OF COMMERCE - TRUST SERVICES GROUP	39,400
CHINA BANKING CORPORATION - TRUST GROUP	416,830
CITIBANK N.A.	711,750
DEUTSCHE BANK MANILA-CLIENTS A/C	40,800
THE HONGKONG AND SHANGHAI BANKING CORP. LTDCLIENTS' ACCT.	4,833,880
LAND BANK OF THE PHILIPPINES-TRUST BANKING GROUP	500
NATIONAL REINSURANCE CORPORATION OF THE PHILIPPINES	100,000
AB CAPITAL & INVESTMENT CORP TRUST & INVESTMENT DIV.	8,400
ASIA UNITED BANK - TRUST & INVESTMENT GROUP	385,190
SSS PROVIDENT FUND	60,000
PNB LIFE INSURANCE, INC.	300,000
PNB TRUST BANKING GROUP	203,620
RCBC TRUST & INVESTMENT DIVISION	98,900
STERLING BANK OF ASIA TRUST GROUP	11,950
STANDARD CHARTERED BANK	8,950
MBTC - TRUST BANKING GROUP	1,290,660
SOCIAL SECURITY SYSTEM	1,600,000
UNITED COCONUT PLANTERS BANK-TRUST BANKING	86,560
TOTAL	19,818,010

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.